

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Padmanabhan Sreenivasan et al. Examiner: Ramsey M. Refai
Serial No.: 09/811,158 Group Art Unit: 2152
Filed: March 16, 2001 Docket: 499.057US1
Title: MAINTAINING MEMBERSHIP IN HIGH AVAILABILITY COMPUTING SYSTEMS

INFORMATION DISCLOSURE STATEMENT

MS RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered.

INFORMATION DISCLOSURE STATEMENT

Serial No :09/811,158

Filing Date: March 16, 2001

Title: MAINTAINING MEMBERSHIP IN HIGH AVAILABILITY COMPUTING SYSTEMS

Page 2
Dkt: 499.057US1

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

PADMANABHAN SREENIVASAN ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6954

Date December 15, 2005

By R. L. L.

Rodney L. Lacy
Reg. No. 41,136

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 15th day of December, 2005.

JONATHAN FERGUSON
Name

Jonathan Ferguson
Signature

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/811,158</td> </tr> <tr> <td>Filing Date</td> <td>March 16, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Sreenivasan, Padmanabhan</td> </tr> <tr> <td>Group Art Unit</td> <td>2152</td> </tr> <tr> <td>Examiner Name</td> <td>Refai, Ramsey</td> </tr> </table>		Application Number	09/811,158	Filing Date	March 16, 2001	First Named Inventor	Sreenivasan, Padmanabhan	Group Art Unit	2152	Examiner Name	Refai, Ramsey
Application Number	09/811,158												
Filing Date	March 16, 2001												
First Named Inventor	Sreenivasan, Padmanabhan												
Group Art Unit	2152												
Examiner Name	Refai, Ramsey												
Sheet 1 of 1		Attorney Docket No: 499.057US1											



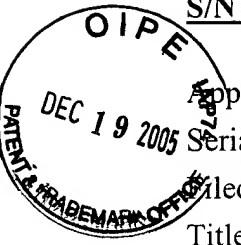
US PATENT DOCUMENTS				
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-6,859,882	02/22/2005	Fung, H. T.	05/18/2001

FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ²

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		

EXAMINER

DATE CONSIDERED



S/N 09/811,158

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Padmanabhan Sreenivasan et al.
Serial No.: 09/811,158
Filed: March 16, 2001
Title: MAINTAINING MEMBERSHIP IN HIGH AVAILABILITY COMPUTING SYSTEMS

Examiner: Ramsey M. Refai
Group Art Unit: 2152
Docket: 499.057US1

COMMUNICATION CONCERNING RELATED APPLICATIONS

MS RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicants would like to bring to the Examiner's attention the following related applications in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date/Issue Date</u>	<u>Attorney Docket</u>	<u>Title</u>
09/811357	March 16, 2001	499.074US1	FLEXIBLE FAILOVER POLICIES IN HIGH AVAILABILITY COMPUTING SYSTEMS
09/997404	November 29, 2001	499.074US2	FLEXIBLE FAILOVER POLICIES IN HIGH AVAILABILITY COMPUTING SYSTEMS

COMMUNICATION CONCERNING RELATED APPLICATIONS

Serial Number: 09/811,158

Filing Date: March 16, 2001

Title: MAINTAINING MEMBERSHIP IN HIGH AVAILABILITY COMPUTING SYSTEMS

Page 2

Dkt: 499.057US1

Continuations and divisionals may be later filed on the cases listed above, or cited to the Examiner in any previous Communication Concerning Related Applications. Applicants request that the Examiner review all continuations and divisionals of the above-listed or previously-cited patent applications before allowing the claims of the present patent application.

Respectfully submitted,

PADMANABHAN SREENIVASAN ET AL.

By Applicants' Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6954

Date

December 15, 2005 By Rodney L. Lacy
Rodney L. Lacy
Reg. No. 41,136

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 15th day of December, 2005.

JONATHAN FERGUSON
Name

Jonathan Ferguson
Signature